
**Acceptance sampling procedures by
attributes — Specified quality levels in
nonconforming items per million**

*Règles d'échantillonnage par attributs en vue d'acceptation —
Niveaux spécifiés de qualité en termes d'individus non conformes pour
un million d'individus*





COPYRIGHT PROTECTED DOCUMENT

© ISO 2017, Published in Switzerland

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
Ch. de Blandonnet 8 • CP 401
CH-1214 Vernier, Geneva, Switzerland
Tel. +41 22 749 01 11
Fax +41 22 749 09 47
copyright@iso.org
www.iso.org

Contents

Page

Foreword	iv
Introduction	vi
1 Scope	1
2 Normative references	1
3 Terms, definitions, symbols and abbreviated terms	1
4 General principles	2
4.1 Objective	2
4.2 Quality assessment of product	2
4.3 Acceptance sampling of a lot	2
5 Estimation of quality levels in nonconforming items per million items	3
5.1 Prerequisites	3
5.2 Data sources	3
5.3 Estimation of p_M , the process quality level	3
5.4 Sampling requirements and guidelines	4
5.5 Examples of estimation of the quality level	4
5.5.1 Example for single data source	4
5.5.2 Example for multiple data sources	4
5.6 Reporting results	5
5.6.1 Reporting fraction nonconforming in nonconforming items per million items	5
5.6.2 Period of data accumulation	5
5.6.3 Reporting requirements of estimated quality levels	5
5.6.4 Data exclusion options	5
6 Lot acceptance sampling requirements and procedures	5
6.1 Overview	5
6.2 Requirements and guidelines	6
6.3 Lot acceptance procedure	6
6.4 Illustrated use of Table 1	7
6.4.1 Assessed process quality level falls in a given interval for the selected LQL	7
6.4.2 Assessed process quality level does not fall in a given interval for the selected LQL	7
7 Single sampling plans indexed by LQL in nonconforming items per million items	8
Annex A (normative) Data exclusion	12
Annex B (informative) Underlying theory for generating point estimates of quality level in fraction nonconforming	15
Annex C (informative) Statistical theory and calculation of Table 1	17
Bibliography	19

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 69, *Applications of statistical methods*, Subcommittee SC 5, *Acceptance sampling*.

This first edition of ISO 28597 cancels and replaces ISO 14560:2004, of which it constitutes a minor revision to change the reference number from 14560 to 28597.

With the view to achieve a more consistent portfolio, TC 69/SC 5 has simultaneously renumbered the following standards, by means of minor revisions:

Old reference	New reference	Title
ISO 2859-10:2006	ISO 28590:2017	Sampling procedures for inspection by attributes — Introduction to the ISO 2859 series of standards for sampling for inspection by attributes
ISO 8422:2006	ISO 28591:2017	Sequential sampling plans for inspection by attributes
ISO 28801:2011	ISO 28592:2017	Double sampling plans by attributes with minimal sample sizes, indexed by producer's risk quality (PRQ) and consumer's risk quality (CRQ)
ISO 18414:2006	ISO 28593:2017	Acceptance sampling procedures by attributes — Accept-zero sampling system based on credit principle for controlling outgoing quality
ISO 21247:2005	ISO 28594:2017	Combined accept-zero sampling systems and process control procedures for product acceptance
ISO 14560:2004	ISO 28597:2017	Acceptance sampling procedures by attributes — Specified quality levels in nonconforming items per million
ISO 13448-1:2005	ISO 28598-1:2017	Acceptance sampling procedures based on the allocation of priorities principle (APP) — Part 1: Guidelines for the APP approach
ISO 13448-2:2004	ISO 28598-2:2017	Acceptance sampling procedures based on the allocation of priorities principle (APP) — Part 2: Coordinated single sampling plans for acceptance sampling by attributes

Cross references between the above listed documents have been corrected in the minor revisions.

A list of all documents in the new ISO 28590 - ISO 28599 series of International Standards can be found on the ISO website.

Introduction

For processes that produce nonconforming items relatively rarely, it is advantageous to replace traditional methods of estimating and reporting quality levels by more suitable methods. For example, an estimated outgoing quality level reported as 10 nonconforming items per million items carries a more immediately comprehensible message than either 0,00001 nonconforming items per item or 0,001 nonconforming items per 100 items. This International Standard provides alternative methods that use nonconforming items per million items terminology in estimating and reporting quality levels.

This International Standard provides a means by which quality requirements, stated to be no worse than a given number of nonconforming items per million items, can be verified on a lot-by-lot basis. Procedures are also provided for estimation of the process quality level based on evidence from previous audit and/or lot acceptance samples. Additionally, guidance is given for presuming a process quality level so that the verification procedure can be used when prior sample data is inadequate or not available.

A key feature of this International Standard is that it provides incentives for suppliers to improve their quality. The lot acceptance portion of this specification requires larger sample sizes when quality declines, smaller sample sizes when quality improves. If a customer specifies the same quality requirements to multiple suppliers of a product, those suppliers with superior quality will require, on average, smaller samples for acceptance sampling.

This document is based upon the US Electronic Industries Alliance standards EIA-554 and EIA-555, which it consolidates and reorients to indicate that the procedures are generic and can therefore also be used in industrial or service applications not generally serviced by EIA.

Acceptance sampling procedures by attributes — Specified quality levels in nonconforming items per million

1 Scope

This International Standard specifies, for quality levels expressed as nonconforming items per million items, procedures for estimating the quality level of a single entity (e.g. a lot) and, when the production process is in statistical control, for estimating the process quality level based on evidence from several samples. Procedures are also specified for using this information when selecting a suitable sampling plan so as to verify that the quality level of a given lot does not exceed a stated limiting quality level (LQL). For the case where no prior sample data is available, guidance is given for presuming a process quality level in selecting a plan.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 2859-1, *Sampling procedures for inspection by attributes — Part 1: Sampling schemes indexed by acceptance quality limit (AQL) for lot-by-lot inspection*

ISO 3534-1, *Statistics — Vocabulary and symbols — Part 1: General statistical terms and terms used in probability*

ISO 3534-2, *Statistics — Vocabulary and symbols — Part 2: Applied statistics*

3 Terms, definitions, symbols and abbreviated terms

For the purposes of this document, the terms and definitions given in ISO 2859-1, ISO 3534-1 and ISO 3534-2, and the following symbols and abbreviated terms apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <http://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

Ac	acceptance number, representing the largest number of nonconforming items found in the sample that permits the acceptance of the lot, as given in the sampling plan
d	number of nonconforming items observed
d_i	number of nonconforming items found in the sample from the i th lot
LQL	limiting quality level, in nonconforming items per million items (i.e. the actual quality level of a lot that corresponds to a probability of 21 % or less of lot acceptance for the sampling plan used)
L_p	lower limit to the assessed process quality level for a given LQL and Ac, used for selecting a plan from Table 1

NOTE 1 L_p is the lowest actual quality level of a lot for which the probability of lot acceptance is 90 % or more for a sampling plan with the given acceptance number, but which is less than 90% for a sampling plan with the next smaller acceptance number for the same LQL.